

THIS IS AN AMENDED FORM
IT HAS NOT BEEN AUDITED

ELECTION FINANCING REPORT
CANDIDATE

F-C
(15/09)



ELECTIONS
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Amendment # 3

CANDIDATE'S LAST NAME CHEN		FIRST NAME KATRINA		MIDDLE NAME(S)
ELECTORAL DISTRICT BURNABY LOUGHEED		POLITICAL PARTY/AFFILIATION NDP		GENERAL VOTING DAY (YYYY / MM / DD) 2017/05/09
FINANCIAL AGENT'S LAST NAME LALLI		FIRST NAME BRIAN		MIDDLE NAME(S) SCOTT
FINANCIAL AGENT'S MAILING ADDRESS 1401-3737 BARTLETT COURT				CITY / TOWN BURNABY
POSTAL CODE V3J7E3	PHONE NUMBER 6042094622	FAX NUMBER	EMAIL ADDRESS BRIANLALLI@BCFMWU.COM	

This financing report includes the following forms:

All Candidates:

		FORMS CHECKLIST
		X
Statement of Election Income and Expenses –	Form SI-I&E-E	<input checked="" type="checkbox"/>
Summary of Expenses –	Form Sm-E	<input checked="" type="checkbox"/>
Summary of Political Contributions by Class –	Form Sm-C	<input checked="" type="checkbox"/>
Political Contributions of Money / Property / Services over \$250 –	Form S-A1	<input checked="" type="checkbox"/>
Permitted Anonymous Contributions Accepted at Functions –	Form S-A2	<input type="checkbox"/>
Prohibited Contributions –	Form S-Ax	<input type="checkbox"/>
Personal Expenses Paid by Financial Agent –	Form Sm-PE1	<input type="checkbox"/>
Personal Expenses Paid by Candidate –	Form Sm-PE2	<input type="checkbox"/>
Summary of Fundraising Functions –	Form Sm-F	<input type="checkbox"/>
Fundraising Function –	Form S-F	<input type="checkbox"/>
Loans and Guarantees –	Form S-L1	<input type="checkbox"/>
Loans / Debts Forgiven / Written Off –	Form S-L2	<input type="checkbox"/>
Transfers Received –	Form S-T-Rev	<input checked="" type="checkbox"/>
Transfers Given –	Form S-T-Giv	<input checked="" type="checkbox"/>

Candidates Who Were Nomination Contestants:

Nomination Contestant Expenses –	Form Sm-E-NC	<input type="checkbox"/>
Summary of Political Contributions by Class –	Form Sm-C	<input type="checkbox"/>
Political Contributions of Money / Property / Services over \$250 –	Form S-A1	<input type="checkbox"/>
Permitted Anonymous Contributions Accepted at Functions –	Form S-A2	<input type="checkbox"/>
Personal Expenses Paid by Financial Agent –	Form Sm-PE1	<input type="checkbox"/>
Personal Expenses Paid by Contestant –	Form Sm-PE2	<input type="checkbox"/>
Summary of Fundraising Functions –	Form Sm-F	<input type="checkbox"/>
Fundraising Function –	Form S-F	<input type="checkbox"/>

I, the Financial Agent, declare that:

- (a) I am authorized to act on behalf of the above-named candidate;
(b) this report and appropriate forms have been prepared in accordance with the *Election Act*; and
(c) to the best of my knowledge, information and belief, all the information contained in this statement is complete and accurate.

SIGNATURE OF FINANCIAL AGENT 	DATE (YYYY / MM / DD) 2017/08/04
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WARNING: Signing a false statement is a serious offence and is subject to significant penalties

All forms included in this report are available for public inspection.

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Elections BC 1-800-661-8683 www.elections.bc.ca or PO Box 9276 Stn Prov Govt, Victoria BC V8W 9J8

**INDEPENDENT AUDITOR'S REPORT
ON THE CANDIDATE'S ELECTION FINANCING REPORT
PURSUANT TO THE *ELECTION ACT***

6112 Sussex Avenue
Burnaby, British Columbia
Canada V5H 3C3

☎ 604 . 434-5800
Fax: 604 . 433-6200

To: Brian Lalli, Financial Agent for Katrina Chen

We have audited the accompanying election financing report of Katrina Chen relating to the British Columbia election held on May 9, 2017 in the electoral district of Burnaby-Lougheed. The election financing report has been prepared by the Financial Agent for Katrina Chen based on the financial reporting provisions of Part 10 of the *Election Act*.

Financial agent's responsibility for the election financing report

The Financial Agent is responsible for the preparation of the election financing report in accordance with the financial reporting provisions of Part 10 of the *Election Act*, and for such internal control as the financial agent determine is necessary to enable the preparation of election financing reports that are free from material misstatement, whether due to error or fraud.

Auditor's responsibility

Our responsibility is to express an opinion on the election financing report based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards as required by Section 214 of the *Election Act* (British Columbia). Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the election financing report is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the election financing report. The procedures selected depend on the auditor's judgment, including the assessment of risks of material misstatement of the election financing report, whether due to error or fraud. In making those risk assessments, the auditor considers internal control relevant to the Financial Agent's preparation of the election financing report in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Candidate's internal control. An audit also includes evaluating the appropriateness of accounting policies used and reasonableness of accounting estimates made by the Financial Agent, as well as evaluating the overall presentation of the election financing report.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified audit opinion.

Basis for Qualified Opinion

Due to the nature of the transactions inherent in any election campaign, the completeness of contributions and other revenue and expenses is not susceptible to satisfactory audit verification. Accordingly, our verification of these transactions was limited to the amounts recorded in the election campaign records and we were not able to determine whether any adjustments might be necessary to the election financing report.

Qualified Opinion

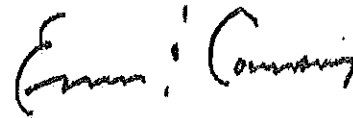
In our opinion, except for the effects of the matter described in the Basis for Qualified Opinion paragraph, the election financing report of Katrina Chen for the British Columbia election held on May 9, 2017 in the electoral district of Burnaby-Lougheed is prepared, in all material respects, in accordance with the financing reporting provisions of Part 10 of the *Election Act*.

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Basis of Accounting

The election financing report is prepared to assist Katrina Chen to comply with the financing reporting provisions of Part 10 of the *Election Act*. As a result, the election financing report may not be suitable for another purpose.



ENNS & COMPANY
Chartered Professional Accountants

Burnaby, BC
August 4, 2017



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**STATEMENT OF ELECTION
INCOME AND EXPENSES**

S-I&E-E
(15/07)

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GENERAL VOTING DAY (YYYY/MM/DD)
2017/05/09

NAME OF FILING ENTITY CHEN, KATRINA BURNABY LOUGHEED NDP	
Total value of political contributions from all sources (from box E on form Sm-C)	46659.28
Total transfers received (from box A on form S-T-Rcv)	404479.84
	101839.56
Interest Income	
Total gross fundraising function income not reported as political contributions (from box E on form Sm-F)	
Candidate's nomination deposit refund	250.00
Other Income (describe) TELUS Refund	394.09
Total Income (sum of above boxes)	448089.42
	149142.93
Total value of election expenses subject to limits (from box A on form Sm-E)	68769.68
	74356.10
Total value of election expenses not subject to limits (from box B on form Sm-E)	7830.32
	8198.50
Total value of other expenses (from box C on form Sm-E)	50284.47
	50350.72
Total transfers given (from box A on form S-T-Giv)	965.00
	16237.45
Total Expenditures (sum of above boxes)	127846.47
	149142.77
For Candidates Only	
Balance in campaign account as of date of report	0 -22,490.84
	C

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SUMMARY OF EXPENSES

Sm-E
(15/08)

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NAME OF FILING ENTITY
CHEN, KATRINA BURNABY LOUGHEED NDP

Election Expenses	Election Expenses Subject to Limits	Election Expenses Not Subject to Limits	Other Expenses
Accounting and audit services		7948.50 2600.00	
Bank charges	141.06		103.50 69.60
Candidate's nomination deposit		250.00	
Convention, workshop and meeting fees and rentals	40.00		
Data processing / information technology	95.81 46.44		49.37
Donations and gifts			
Excess nomination expenses (from box D, form Sm-E-NC)			
Furniture and equipment	2453.62		2474.11
Insurance	209.30		288.70
Interest expense			
Media advertising	2919.11		621.49
Newsletters and promotional material (signs, brochures, etc.)	14191.36		519.76 6025.86
Office rent, utilities and maintenance	3110.25		3888.64
Office supplies, stationery	1170.73		1521.76
Personal expenses of candidate (from box G, form Sm-PE1)			
Postage and courier	58.06		
Professional services	186.25		
Research and polling	1005.95 489.50		610.35
Salaries and benefits	46830.32 41819.72	0 5080.32	33908.63 33977.28
Social functions / thank-you parties	1,351.85		1453.01
Telecommunications	387.95		344.32
Travel	194.48		67.18
Total cost of fundraising functions held during the campaign period (from box F, form Sm-F)			
Total cost of fundraising functions held outside the campaign period (from box G, form Sm-F)			
Total net losses of fundraising functions which incurred net losses during the campaign period (from box H, form Sm-F)			
Other expenses (describe)			
Total Expenses	74356.10 68769.68 A	8198.50 7830.32 B	50350.72 50291.47 C

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SUMMARY OF POLITICAL CONTRIBUTIONS BY CLASS

Sm-C
(15/07)

If form is for Nomination Contestant, please tick

NAME OF FILING ENTITY
CHEN, KATRINA BURNABY LOUGHEED NDP

Total value of contributions from each of the following classes of contributor:

	Contributions greater than \$250		Contributions of \$250 or less	
Individuals	3427.20	1a	352.39	1b
Corporations	958.77	2a	160.00	2b
Unincorporated Business / Commercial Organizations		3a		3b
Trade Unions	41740.92	4a	20.00	4b
Non-profit Organizations		5a		5b
Other Identifiable Contributions		6a		6b

Classified subtotals (1a to 6a & 1b to 6b)
(box A = box A, S-A1) 46126.89 | A 532.39 | B

Classified totals
(A + B) 46659.28 | C

Total anonymous contributions
(from box A, S-A2) | D

Total value of all political contributions from all sources
(C + D) 46659.28 | E

Total contributions of money 0 | F

Total contributions of goods, services and discounts
(Includes contributions through loans and debts) 46659.28 | G
(boxes F + G must equal box E)

Number of contributors who made contributions
of \$250 or less in total value 6 | H

Total dollar amount of all income tax receipts issued
(Leadership Contestants cannot issue tax receipts) \$ | I

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ELECTIONS BC
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POLITICAL CONTRIBUTIONS OF MONEY, PROPERTY OR SERVICES WITH A VALUE GREATER THAN \$250

S-A1
(15/06)

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If form is for Nomination Contestant, please tick

NAME OF FILING ENTITY
CHEN, KATRINA BURNABY LOUGHEED NDP

PAGE 1
OF 1

FULL NAME OF CONTRIBUTOR (If a numbered corporation or an unincorporated organization, include full names of two directors)	CLASS OF CONTRIBUTOR* (X APPROPRIATE CLASS)						INDIVIDUAL CONTRIBUTION AMOUNTS	DATE OF EACH INDIVIDUAL CONTRIBUTION (YYYY/MM/DD)	TOTAL OF CONTRIBUTOR'S CONTRIBUTIONS
	1	2	3	4	5	6			
BCGEU - Paul Finch Stephanie Smit				X			33253.74	2017/04/13-2017/05/23	33253.74
UFCW 247 - Susan Hamm Dan Goodman				X			847.20	2017/05/09	847.20
UFCW 1518 - Sandra Hill Patrick Johnson				X			2557.66	2017/04/25	2557.66
CUPE - Sally Bankiner Lauren Williams				X			5082.32	2017/04/11-2017/05/09	5080.32
MOD GSI		X					689.97	2017/03/11	689.97
KUASTYLE		X					268.80	2017/03/15	268.80
LAARNI DELOS REYES	X						1000.0	2017/03/15	1000.0
KATRINA CHEN	X						627.20	2017/04/11-2017/05/09	627.20
GARY WONG	X						1800.0	2017/03/15	1800.00
TOTAL OF INDIVIDUAL CONTRIBUTIONS							A	46126.89	

*CLASS OF CONTRIBUTOR:
1 - INDIVIDUAL 2 - CORPORATION, 3 - UNINCORPORATED BUSINESS/COMMERCIAL ORGANIZATION,
4 - TRADE UNION, 5 - NON-PROFIT ORGANIZATION, 6 - OTHER

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TRANSFERS RECEIVED

S-T-Rcv
(15/06)

NAME OF FILING ENTITY
Katrina Chen Burnaby Lougheed BCNDP

PAGE 1
OF 2

DATE OF TRANSFER (YYYYMMDD)	NAME OF ENTITY TRANSFERRING MONEY, GOODS OR SERVICES	VALUE OF TRANSFER
2017/04/11	BCNDP Burnaby Lougheed Constituency association	260
2017/02/27	BCNDP Burnaby Lougheed Constituency association	35000
2017/04/21	BCNDP Burnaby Lougheed Constituency association	13546
2017/04/03	BCNDP Revenue Transfers	8075
2017/04/12	BCNDP Revenue Transfers	1064.60
2017/04/13	BCNDP Revenue Transfers	1387.50
2017/04/20	BCNDP Revenue Transfers	1273.28
2017/04/21	BCNDP Revenue Transfers	6135
2017/04/28	BCNDP Revenue Transfers	1468.60
2017/06/02	BCNDP Revenue Transfers	12983.41
2017/05/09	BCNDP Revenue Transfers	1427.76
2017/05/18	BCNDP Revenue Transfers	3355
2017/04/21	BCNDP Burnaby Edmonds Constituency Association	2600
2017/06/13	BCNDP Revenue Transfers	10366.32
	<i>Monetary</i>	
	TOTAL	98842.26

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S-T-Rcv
(15/06)

NAME OF FILING ENTITY		PAGE 2
CHEN, KATRINA BURNABY LOUGHEED NDP		OF 2

DATE OF TRANSFER (YYYY/MM/DD)	NAME OF ENTITY TRANSFERRING MONEY, GOODS OR SERVICES	VALUE OF TRANSFER
2017/04/20	BCNDP paid on behalf of campaign	1,319.44 659.72
2017/05/04	BCNDP paid on behalf of campaign	39.20
2017/05/11	BCNDP paid on behalf of campaign	150.00
2017/05/09	BCNDP paid on behalf of campaign	834.75
2017/06/10	BCNDP paid on behalf of campaign	137.97
2017/04/24	BCNDP paid on behalf of campaign	515.94
	Balance carried forward from page 1 of 2	98842.26
TOTAL		A 101,839.56 404,470.84

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