ELECTIONS BC
A non-partisan Office of the Legislature

ELECTION FINANCING REPORT

CANDIDATE

THIS IS AN AMENDED FORM
IT HAS NOT BEEN AUDITED

F-C (15/09)

Amendment # 01

CANDIDATE'S LAST NAME	FIRST NAME	MIDDLE NAME(S)
CHANDRA HERBERT	SPENCER	

ELECTORAL DISTRICT	POLITICAL PARTY/AFFILIATION	GENERAL VOTING DAY (YYYY / MM / DD)
VANCOUVER WEST END	NDP	2017/05/09

FINANCIAL AGENT'S LAST NAME	FIRST NAME	MIDDLE NAME(S)
MARTIN	ALISON	

FINANCIAL AGENT'S MAILING ADDRESS	CITY / TOWN
1202-1825 HARO STREET	VANCOUVER

POSTAL CODE	PHONE NUMBER	FAX NUMBER	EMAIL ADDRESS
V6G 1H4	604-783-0084		~~proudbcndp@gmail.com~~ alison@allegria.ca

This financing report includes the following forms:

		FORMS CHECKLIST X
All Candidates:		
Statement of Election Income and Expenses –	**Form St-I&E-E**	☒
Summary of Expenses –	**Form Sm-E**	☒
Summary of Political Contributions by Class –	**Form Sm-C**	☐
Political Contributions of Money / Property / Services over $250 –	**Form S-A1**	☐
Permitted Anonymous Contributions Accepted at Functions –	**Form S-A2**	☐
Prohibited Contributions –	**Form S-Ax**	☐
Personal Expenses Paid by Financial Agent –	**Form Sm-PE1**	☒
Personal Expenses Paid by Candidate –	**Form Sm-PE2**	☒
Summary of Fundraising Functions –	**Form Sm-F**	☐
Fundraising Function –	**Form S-F**	☐
Loans and Guarantees –	**Form S-L1**	☐
Loans / Debts Forgiven / Written Off –	**Form S-L2**	☐
Transfers Received –	**Form S-T-Rcv**	☒
Transfers Given –	**Form S-T-Giv**	☒
Candidates Who Were Nomination Contestants:		
Nomination Contestant Expenses –	**Form Sm-E-NC**	☐
Summary of Political Contributions by Class –	**Form Sm-C**	☐
Political Contributions of Money / Property / Services over $250 –	**Form S-A1**	☐
Permitted Anonymous Contributions Accepted at Functions –	**Form S-A2**	☐
Personal Expenses Paid by Financial Agent –	**Form Sm-PE1**	☐
Personal Expenses Paid by Contestant –	**Form Sm-PE2**	☐
Summary of Fundraising Functions –	**Form Sm-F**	☐
Fundraising Function –	**Form S-F**	☐

I, the Financial Agent, declare that:
(a) I am authorized to act on behalf of the above-named candidate;
(b) this report and appropriate forms have been prepared in accordance with the *Election Act*; and
(c) to the best of my knowledge, information and belief, all the information contained in this statement is complete and accurate.

SIGNATURE OF FINANCIAL AGENT	DATE (YYYY / MM / DD)
Alison Martin	2017/09/01

WARNING: Signing a false statement is a serious offence and is subject to significant penalties.

All forms included in this report are available for public inspection.

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ENNS &
COMPANY
Chartered Professional Accountants

6112 Sussex Avenue
Burnaby, British Columbia
Canada V5H 3G3

☎ 604 . 434-5800
Fax: 604 . 433-6200

INDEPENDENT AUDITOR'S REPORT ON THE CANDIDATE'S ELECTION FINANCING REPORT PURSUANT TO THE *ELECTION ACT*

To: Alison Martin, Financial Agent for Spencer Chandra Herbert

We have audited the accompanying election financing report of Spencer Chandra Herbert relating to the British Columbia election held on May 9, 2017 in the electoral district of Vancouver-West End. The election financing report has been prepared by the Financial Agent for Spencer Chandra Herbert based on the financial reporting provisions of Part 10 of the *Election Act*.

Financial agent's responsibility for the election financing report
The Financial Agent is responsible for the preparation of the election financing report in accordance with the financial reporting provisions of Part 10 of the *Election Act*, and for such internal control as the financial agent determine is necessary to enable the preparation of election financing reports that are free from material misstatement, whether due to error or fraud.

Auditor's responsibility
Our responsibility is to express an opinion on the election financing report based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards as required by Section 214 of the *Election Act* (British Columbia). Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the election financing report is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the election financing report. The procedures selected depend on the auditor's judgment, including the assessment of risks of material misstatement of the election financing report, whether due to error or fraud. In making those risk assessments, the auditor considers internal control relevant to the Financial Agent's preparation of the election financing report in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Candidate's internal control. An audit also includes evaluating the appropriateness of accounting policies used and reasonableness of accounting estimates made by the Financial Agent, as well as evaluating the overall presentation of the election financing report.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified audit opinion.

Basis for Qualified Opinion
Due to the nature of the transactions inherent in any election campaign, the completeness of contributions and other revenue and expenses is not susceptible to satisfactory audit verification. Accordingly, our verification of these transactions was limited to the amounts recorded in the election campaign records and we were not able to determine whether any adjustments might be necessary to the election financing report.

Qualified Opinion
In our opinion, except for the effects of the matter described in the Basis for Qualified Opinion paragraph, the election financing report of Spencer Chandra Herbert for the British Columbia election held on May 9, 2017 in the electoral district of Vancouver-West End is prepared, in all material respects, in accordance with the financing reporting provisions of Part 10 of the *Election Act*.

.../2

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Basis of Accounting
The election financing report is prepared to assist Spencer Chandra Herbert to comply with the financing reporting provisions of Part 10 of the *Election Act*. As a result, the election financing report may not be suitable for another purpose.

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Burnaby, BC
September 1, 2017

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STATEMENT OF ELECTION INCOME AND EXPENSES

St-I&E-E
(15/07)

THIS IS AN AMENDED FORM
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GENERAL VOTING DAY (YYYY / MM / DD)
2017/05/09

NAME OF FILING ENTITY
SPENCER CHANDRA HERBERT- BC NDP- VANCOUVER WEST END CAMPAIGN

Total value of political contributions from all sources (from box **E** on form **Sm-C**)	0.00	
Total transfers received (from box **A** on form **S-T-Rcv**)	$74,462.75	
Interest income	$4.77 ~~4.44~~	
Total gross fundraising function income not reported as political contributions (from box **E** on form **Sm-F**)	0.00	
Candidate's nomination deposit refund	250.00	
Other income (describe)		
Total Income (sum of above boxes)	$74,717.52	A
Total value of election expenses subject to limits (from box **A** on form **Sm-E**)	45662.62	
Total value of election expenses not subject to limits (from box **B** on form **Sm-E**)	$2,750.00	
Total value of other expenses (from box **C** on form **Sm-E**)	$27,271.29	
Total transfers given (from box **A** on form **S-T-Giv**)	$1,340.42	
Total Expenditures (sum of above boxes)	$77,024.33	B

For Candidates Only

Balance in campaign account as of date of report	Ø ~~4,920.85~~	C

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SUMMARY OF EXPENSES

Sm-E
(15/08)

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NAME OF FILING ENTITY
SPENCER CHANDRA HERBERT- BC NDP- VANCOUVER WEST END CAMPAIGN

Election Expenses	Election Expenses Subject to Limits	Election Expenses Not Subject to Limits	Other Expenses
Accounting and audit services		2500.00	
Bank charges			20.00
Candidate's nomination deposit		250.00	
Convention, workshop and meeting fees and rentals			200.00
Data processing / information technology	101.96		
Donations and gifts			
Excess nomination expenses (from box D, form Sm-E-NC)			
Furniture and equipment	430.19		
Insurance	130.97		149.03
Interest expense			
Media advertising	106.56		
Newsletters and promotional material (signs, brochures, etc.)	9550.46		
Office rent, utilities and maintenance	4677.42		5322.58
Office supplies, stationery	1563.22		
Personal expenses of candidate (from box G, form Sm-PE1)		Ø ~~52.87~~	
Postage and courier	2039.60		
Professional services	3875.00		9400.00
Research and polling			843.85
Salaries and benefits	21986.10		9355.78
Social functions / thank-you parties	338.25		877.27
Telecommunications	862.89		8.09
Travel			1094.69
Total cost of fundraising functions held during the campaign period (from box F, form Sm-F)			
Total cost of fundraising functions held outside the campaign period (from box G, form Sm-F)			
Total net losses of fundraising functions which incurred net losses during the campaign period (from box H, form Sm-F)			
Other expenses (describe) ~~CRA PAYROLL PENALITY~~			Ø ~~205.47~~
Total Expenses	45662.62 A	$ 2,750.00 B	$ 27,271.29 C

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PERSONAL EXPENSES PAID BY FINANCIAL AGENT

Sm-PE1
(15/06)

(Personal expenses of candidate or contestant which were paid by the financial agent)

THIS IS AN AMENDED FORM

If form is for Nomination Contest, please tick ☐ HAS NOT BEEN AUDITED

NAME OF FILING ENTITY
SPENCER CHANDRA HERBERT- BC NDP- VANCOUVER WEST END CAMPAIGN

Paid by the Financial Agent

A. Transportation to, from or within electoral district	Air travel		
	Bus, taxi		
	Rental vehicle		
	Private vehicle		
	Other (describe)		
	Total		A
B. Cost of lodging, meals and incidental expenses while travelling	Hotel, motel		
	Meals		
	Incidental expenses (describe)		
	Total		B
C. Cost of renting a necessary temporary residence	Rent		C
D. All other necessary personal expenses related to campaign or contest	Family care		
	Disability expenses		
	Total		D
E. Total personal expenses paid by the financial agent	Total of items A to D		E
F. Total personal expenses paid out of pocket by candidate or contestant	From Sm-PE2, box E	~~52.87~~	F
G. Total personal expenses from Sm-PE1 and Sm-PE2	Total of items E + F	~~52.87~~	G

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PERSONAL EXPENSES PAID BY CANDIDATE / CONTESTANT

Sm-PE2
(15/06)

(This form must be completed by the candidate or contestant and submitted to the financial agent within 60 days)

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If form is for Nomination Contest, please tick ☐

NAME OF FILING ENTITY
SPENCER CHANDRA HERBERT- BC NDP- VANCOUVER WEST END CAMPAIGN

Paid by the Candidate or Contestant

A. Transportation to, from or within electoral district	Air travel		
	Bus, taxi		
	Rental vehicle		
	Private vehicle		
	Other (describe)		
	Total		A
B. Cost of lodging, meals and incidental expenses while travelling	Hotel, motel		
	Meals		
	Incidental expenses (describe) ~~CELL PHONE~~	~~52.87~~	
	Total	~~52.87~~	B
C. Cost of renting a necessary temporary residence	Rent		C
D. All other necessary personal expenses related to campaign or contest	Family care		
	Disability expenses		
	Total		D
E. Total personal expenses paid by candidate or contestant	Total of Items A to D	~~52.87~~	E

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TRANSFERS RECEIVED

S-T-Rcv (15/06)

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NAME OF FILING ENTITY
SPENCER CHANDRA HERBERT- BC NDP- VANCOUVER WEST END CAMPAIGN

PAGE 1 OF 1

DATE OF TRANSFER (YYYY/MM/DD)	NAME OF ENTITY TRANSFERRING MONEY, GOODS OR SERVICES	VALUE OF TRANSFER
2017/04/20	BC NDP	4228.00
2017/04/21	BC NDP	500.00
2017/04/28	BC NDP	3958.49
2017/05/05	BC NDP (In-kind)	843.85
2017/05/08	BC NDP	5843.00
2017/05/09	BC NDP	2276.15
2017/05/18	BC NDP	1850.00
2017/06/13	BC NDP	2745.50
2017/03/10	VANCOUVER WEST END CONSTITUENCY ASSOCIATION	15000.00
2017/03/23	VANCOUVER WEST END CONSTITUENCY ASSOCIATION	32065.00
2017/05/26	~~BC NDP - GET YOUR ART ON~~ VANCOUVER WEST END CONSTITUENCY ASSOCIATION	4902.76
2017/12/02	VANCOUVER WEST END CONSTITUENCY ASSOCIATION	250.00
	TOTAL A	74,462.75 ~~74212.75~~

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TRANSFERS GIVEN

S-T-Giv
(15/06)

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NAME OF FILING ENTITY
SPENCER CHANDRA HERBERT - BC NDP - VANCOUVER WEST END CAMPAIGN

DATE OF TRANSFER (YYYY/MM/DD)	NAME OF ENTITY RECEIVING MONEY, GOODS OR SERVICES	VALUE OF TRANSFER
2017/10/11	VANCOUVER WEST END CONSTITUENCY ASSOCIATION	$ 1,340.42

TOTAL A $ 1,340.42

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