



ELECTION FINANCING REPORT

F-C
(15/09)

CANDIDATE

THIS IS AN AMENDED FORM
IT HAS NOT BEEN AUDITED

ELECTIONS BC
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Amendment # _____

CANDIDATE'S LAST NAME Fleming		FIRST NAME Rob	MIDDLE NAME(S)
ELECTORAL DISTRICT Victoria Swan Lake	POLITICAL PARTY/AFFILIATION NDP		GENERAL VOTING DAY (YYYY / MM / DD) 2017/05/09
FINANCIAL AGENT'S LAST NAME Hawkins		FIRST NAME William	MIDDLE NAME(S) Gerald
FINANCIAL AGENT'S MAILING ADDRESS 802 Llundab Ave.			CITY / TOWN Victoria
POSTAL CODE V5S 4C7	PHONE NUMBER 250-888-9625	FAX NUMBER	EMAIL ADDRESS billhawkins@shaw.ca

This financing report includes the following forms:

All Candidates:

FORMS CHECKLIST

- | | | |
|---|---------------|-------------------------------------|
| | | X |
| Statement of Election Income and Expenses – | Form St-I&E-E | <input checked="" type="checkbox"/> |
| Summary of Expenses – | Form Sm-E | <input checked="" type="checkbox"/> |
| Summary of Political Contributions by Class – | Form Sm-C | <input checked="" type="checkbox"/> |
| Political Contributions of Money / Property / Services over \$250 – | Form S-A1 | <input checked="" type="checkbox"/> |
| Permitted Anonymous Contributions Accepted at Functions – | Form S-A2 | <input type="checkbox"/> |
| Prohibited Contributions – | Form S-Ax | <input type="checkbox"/> |
| Personal Expenses Paid by Financial Agent – | Form Sm-PE1 | <input type="checkbox"/> |
| Personal Expenses Paid by Candidate – | Form Sm-PE2 | <input checked="" type="checkbox"/> |
| Summary of Fundraising Functions – | Form Sm-F | <input type="checkbox"/> |
| Fundraising Function – | Form S-F | <input type="checkbox"/> |
| Loans and Guarantees – | Form S-L1 | <input type="checkbox"/> |
| Loans / Debts Forgiven / Written Off – | Form S-L2 | <input type="checkbox"/> |
| Transfers Received – | Form S-T-Rcv | <input checked="" type="checkbox"/> |
| Transfers Given – | Form S-T-Giv | <input checked="" type="checkbox"/> |

Candidates Who Were Nomination Contestants:

- | | | |
|---|--------------|--------------------------|
| Nomination Contestant Expenses – | Form Sm-E-NC | <input type="checkbox"/> |
| Summary of Political Contributions by Class – | Form Sm-C | <input type="checkbox"/> |
| Political Contributions of Money / Property / Services over \$250 – | Form S-A1 | <input type="checkbox"/> |
| Permitted Anonymous Contributions Accepted at Functions – | Form S-A2 | <input type="checkbox"/> |
| Personal Expenses Paid by Financial Agent – | Form Sm-PE1 | <input type="checkbox"/> |
| Personal Expenses Paid by Contestant – | Form Sm-PE2 | <input type="checkbox"/> |
| Summary of Fundraising Functions – | Form Sm-F | <input type="checkbox"/> |
| Fundraising Function – | Form S-F | <input type="checkbox"/> |

I, the Financial Agent, declare that:

- (a) I am authorized to act on behalf of the above-named candidate;
- (b) this report and appropriate forms have been prepared in accordance with the *Election Act*; and
- (c) to the best of my knowledge, information and belief, all the information contained in this statement is complete and accurate.

SIGNATURE OF FINANCIAL AGENT

DATE (YYYY / MM / DD)

2017/07/19

WARNING: Signing a false statement is a serious offence and is subject to significant penalties.

All forms included in this report are available for public inspection.

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Elections BC 1-800-661-8683 privacy@elections.bc.ca or PO Box 9275 Stn Prov Govt, Victoria BC V8W 9J6



Downtown:
645 Fort Street
Suite 540
Victoria, BC
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Westshore:
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Collins Barrow Victoria Ltd
Chartered Professional Accountants
T 250.386.0500
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INDEPENDENT AUDITOR'S REPORT

To Bill Hawkins, Financial Agent for Rob Fleming

We have audited the accompanying election financing report of Rob Fleming relating to the British Columbia election held on May 9, 2017 in the electoral district of Victoria Swan Lake. The election financing report has been prepared by the financial agent for (candidate) based on the financial reporting provisions of Part 10 of the *Election Act*.

FINANCIAL AGENT'S RESPONSIBILITY FOR THE ELECTION FINANCING REPORT

The financial agent is responsible for the preparation of the election financing report in accordance with the financial reporting provisions of Part 10 of the *Election Act*, and for such internal control as the financial agent determines is necessary to enable the preparation of election financing reports that are free from material misstatement, whether due to error or fraud.

AUDITOR'S RESPONSIBILITIES

Our responsibility is to express an opinion on the election financing report based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards as required by Section 214 of the *Election Act* (British Columbia). Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the election financing report is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the election financing report. The procedures selected depend on the auditor's judgment, including the assessment of risks of material misstatement of the election financing report, whether due to error or fraud. In making those risk assessments, the auditor considers internal control relevant to the financial agent's preparation of the election financing report in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Candidate's internal control. An audit also includes evaluating the appropriateness of accounting policies used and reasonableness of accounting estimates made by the financial agent, as well as evaluating the overall presentation of the election financing report.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified audit opinion.

BASIS FOR QUALIFIED OPINION

Due to the nature of the transactions inherent in any election campaign, the completeness of contributions and other revenue and expenses is not susceptible to satisfactory audit verification. Accordingly, our verification of these transactions was limited to the amounts recorded in the election campaign records and we were not able to determine whether any adjustments might be necessary to the election financing report.

QUALIFIED OPINION

In our opinion, except for the effects of the matter described in the Basis for Qualified Opinion paragraph,



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INDEPENDENT AUDITOR'S REPORT (continued)

the election financing report of Rob Fleming for the British Columbia election held on May 9, 2017 in the electoral district of Victoria Swan Lake is prepared, in all material respects, in accordance with the financial reporting provisions of Part 10 of the *Election Act*.

BASIS OF ACCOUNTING

The election financing report is prepared to assist Rob Fleming to comply with the financial reporting provisions of Part 10 of the *Election Act*. As a result, the election financing report may not be suitable for another purpose.

Collins Barrow Victoria Ltd.

CHARTERED PROFESSIONAL ACCOUNTANTS

Victoria, BC Canada
August 2, 2017



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STATEMENT OF ELECTION INCOME AND EXPENSES

St-I&E-E
(15/07)

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GENERAL VOTING DAY (YYYY / MM / DD)
2017/05/09

NAME OF FILING ENTITY

Victoria Swan Lake NDP - Rob Fleming

Total value of political contributions from all sources (from box E on form Sm-C) ~~24,906.68~~ 22,941.34

Total transfers received (from box A on form S-T-Rcv) ~~84,345.11~~ 92,691.96

Interest income

Total gross fundraising function income not reported as political contributions
(from box E on form Sm-F)

Candidate's nomination deposit refund

Other income (describe)

Total Income (sum of above boxes) ~~87,085.79~~ A 95,432.64
95,236.30

Total value of election expenses subject to limits (from box A on form Sm-E) ~~45,596.80~~ 46,599.96

Total value of election expenses not subject to limits (from box B on form Sm-E) ~~250.00~~ 2,441.34

Total value of other expenses (from box C on form Sm-E)

Total transfers given (from box A on form S-T-Giv) ~~12,004.03~~ 36,502.22

Total Expenditures (sum of above boxes) ~~67,739.47~~ B 95,432.66

For Candidates Only

Balance in campaign account as of date of report ~~19,346.32~~ C 27,693.17
0



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SUMMARY OF EXPENSES

Sm-E
(15/08)

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NAME OF FILING ENTITY

Victoria Swan Lake NDP - Rob Fleming

Election Expenses

**Election Expenses
Subject to Limits**

**Election Expenses
Not Subject to Limits**

**Other
Expenses**

Accounting and audit services		1995.00	
Bank charges	162.81		
Candidate's nomination deposit		250.00	
* Convention, workshop and meeting fees and rentals	483.33		300.00
Data processing / information technology	974.69		714.29
Donations and gifts			
Excess nomination expenses (from box D, form Sm-E-NC)			
Furniture and equipment	832.08		233.54
Insurance	43.39		71.81
Interest expense			
* Media advertising	3606.68		622.01
Newsletters and promotional material (signs, brochures, etc.)	15,495.32		
Office rent, utilities and maintenance	3976.56		4822.35
Office supplies, stationery	1848.77		
Personal expenses of candidate (from box G, form Sm-PE1)		196.34	
Postage and courier	401.62		
Professional services	400.00		
Research and polling			
Salaries and benefits	15,701.82	16,701.82	733.34
Social functions / thank-you parties	935.67	1,135.67	1939.94
Telecommunications	460.22		451.86
Travel	273.34	77.00	
Total cost of fundraising functions held during the campaign period (from box F, form Sm-F)			
Total cost of fundraising functions held outside the campaign period (from box G, form Sm-F)			
Total net losses of fundraising functions which incurred net losses during the campaign period (from box H, form Sm-F)			
Other expenses (describe)			

Total Expenses

~~45,591.30~~
46,599.96 A

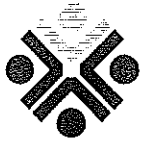
250.00 B

2,441.34

9889.14 C

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Elections BC 1-800-661-8683 privacy@elections.bc.ca or PO Box 9275 Stn Prov Govt, Victoria BC V8W 9J6



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SUMMARY OF POLITICAL CONTRIBUTIONS BY CLASS

Sm-C
(15/07)

If form is for Nomination Contestant, please tick

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NAME OF FILING ENTITY

Victoria Swan Lake NDP - Rob Fleming

Total value of contributions from each of the following classes of contributor:

	Contributions greater than \$250		Contributions of \$250 or less	
Individuals	1688.98	1a	196.34	1b 0
Corporations		2a	72.03	2b
Unincorporated Business / Commercial Organizations		3a		3b
Trade Unions	400.00	4a	400.00	4b
Non-profit Organizations		5a	133.33	5b
Other Identifiable Contributions		6a		6b
	2088.98		401.70	205.36
Classified subtotals (1a to 6a & 1b to 6b) (box A = box A, S-A1)	1688.98	A	801.70	B
Classified totals (A + B)			2490.68	C 2,294.34
Total anonymous contributions (from box A, S-A2)				D
Total value of all political contributions from all sources (C + D)			2490.68	E 2,294.34

Total contributions of money F

Total contributions of goods, services and discounts (includes contributions through loans and debts) 2490.68 G 2,294.34
(boxes F + G must equal box E)

Number of contributors who made contributions of \$250 or less in total value 3 H 2

Total dollar amount of all income tax receipts issued (Leadership Contestants cannot issue tax receipts) \$ 0 I

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ELECTIONS BC
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POLITICAL CONTRIBUTIONS OF MONEY, PROPERTY OR SERVICES WITH A VALUE GREATER THAN \$250

S-A1
(15/06)

If form is for Nomination Contestant, please tick

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NAME OF FILING ENTITY
Victoria Swan Lake NDP - Rob Fleming

PAGE 1
OF 1

FULL NAME OF CONTRIBUTOR (If a numbered corporation or an unincorporated organization, include full names of two directors)	CLASS OF CONTRIBUTOR* (X APPROPRIATE CLASS)						INDIVIDUAL CONTRIBUTION AMOUNTS	DATE OF EACH INDIVIDUAL CONTRIBUTION (YYYY/MM/DD)	TOTAL OF CONTRIBUTOR'S CONTRIBUTIONS
	1	2	3	4	5	6			
<u>Chris Brown</u>	X						<u>1688.98</u>	<u>2017/03/19</u>	<u>1688.98</u>
<u>BGG EU: Stephanie Smith, Paul Finch</u>				X			<u>100.00</u> <u>100.00</u> <u>100.00</u> <u>100.00</u>	<u>2017/03/07</u> <u>" 103/21</u> <u>" 104/04</u> <u>" 04/18</u>	<u>400.00</u>
<u>ff</u>									
<u>ff</u>									
<u>ff</u>									

* CLASS OF CONTRIBUTOR:
1 - INDIVIDUAL, 2 - CORPORATION, 3 - UNINCORPORATED BUSINESS/COMMERCIAL ORGANIZATION,
4 - TRADE UNION, 5 - NON-PROFIT ORGANIZATION, 6 - OTHER

TOTAL OF INDIVIDUAL CONTRIBUTIONS
A 1688.98
2088.98

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PERSONAL EXPENSES PAID BY CANDIDATE / CONTESTANT

Sm-PE2
(15/06)

(This form must be completed by the candidate or contestant
and submitted to the financial agent within 60 days)

If form is for Nomination Contest, please tick

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NAME OF FILING ENTITY		Victoria Swan Lake NDP - Rob Fleming	
Paid by the Candidate or Contestant			
A. Transportation to, from or within electoral district		Air travel	
		Bus, taxi	
		Rental vehicle	
		Private vehicle	
Other (describe) Parking			196.34
		Total	
			A
B. Cost of lodging, meals and incidental expenses while travelling		Hotel, motel	
		Meals	
Incidental expenses (describe)			
		Total	196.34
			B
C. Cost of renting a necessary temporary residence		Rent	
			C
D. All other necessary personal expenses related to campaign or contest		Family care	
		Disability expenses	
		Total	
			D
E. Total personal expenses paid by candidate or contestant		Total of items A to D	196.34
			E

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TRANSFERS RECEIVED

S-T-Rcv
(15/06)

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NAME OF FILING ENTITY
Victoria Swan Lake NDP - Rob Fleming

PAGE **1**
OF **1**

DATE OF TRANSFER (YYYY/MM/DD)	NAME OF ENTITY TRANSFERRING MONEY, GOODS OR SERVICES	VALUE OF TRANSFER
2017/02/14	Victoria Swan Lake NDP	7.98 ✓
02/17	"	100.00 ✓
02/23	"	20,000.00 ✓
04/04	BCNDP	7625.00 ✓
04/18	Victoria Swan Lake NDP	10,000.00 ✓
04/13	BCNDP	3766.00 ✓
04/14	"	1727.56 ✓
04/21	"	1297.50 ✓
04/28	"	5363.00 ✓
05/05	Victoria Swan Lake NDP	20,000.00 ✓
05/09	BCNDP	2180.63 ✓
05/17	Victoria Swan Lake NDP	10,000.00 ✓
05/18	BC NDP	1937.50 ✓
05/08	"	340.00 ✓
06/13	"	8346.85 ✓
92,691.96 TOTAL		A 84,345.11

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TRANSFERS GIVEN

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S-T-Giv
(15/06)

NAME OF FILING ENTITY
Victoria Swan Lake NDP - Rob Fleming

PAGE 1
OF 1

DATE OF TRANSFER (YYYY/MM/DD)	NAME OF ENTITY RECEIVING MONEY, GOODS OR SERVICES	VALUE OF TRANSFER
2017/04/13	BCNDP	1181.25
04/28	u	11000.00
05/03	u	2133.76
05/08	u	3822.35
2017/05/18	BCNDP	5956.11
05/08	u	322.92
05/19	Oak Bay Gordon Head NDP Bryce Casavent Campaign BCNDP	1518.75
05/19	u Bryce Casavent Campaign BCNDP	2025.00
2017/05/31	BCNDP	1,504.17
2017/09/08	Victoria-Swan Lake Constituency Association BCNDP	20,000.00
2017/09/08	Victoria-Swan Lake Constituency Association BCNDP	5,498.19
TOTAL A		12,004.03 36,502.22

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