



ELECTION FINANCING REPORT

CANDIDATE

F-C
(15/09)

ELECTIONS
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Amendment # _____

CANDIDATE'S LAST NAME POND		FIRST NAME HERBERT	MIDDLE NAME(S) LLOYD GEORGE
ELECTORAL DISTRICT NORTH COAST	POLITICAL PARTY/AFFILIATION BC LIBERALS		GENERAL VOTING DAY (YYYY / MM / DD) 2017/05/09
FINANCIAL AGENT'S LAST NAME HOOPER		FIRST NAME ALAN	MIDDLE NAME(S) K
FINANCIAL AGENT'S MAILING ADDRESS 225 3RD STREET			CITY / TOWN PRINCE RUPERT BC
POSTAL CODE V8J3J9	PHONE NUMBER 250-627-5495	FAX NUMBER 250-627-5497	EMAIL ADDRESS AKHOOPER@CITYWEST.CA

This financing report includes the following forms:

All Candidates:

	FORMS CHECKLIST
	X
Statement of Election Income and Expenses – Form St-I&E-E	<input checked="" type="checkbox"/>
Summary of Expenses – Form Sm-E	<input checked="" type="checkbox"/>
Summary of Political Contributions by Class – Form Sm-C	<input checked="" type="checkbox"/>
Political Contributions of Money / Property / Services over \$250 – Form S-A1	<input checked="" type="checkbox"/>
Permitted Anonymous Contributions Accepted at Functions – Form S-A2	<input type="checkbox"/>
Prohibited Contributions – Form S-Ax	<input type="checkbox"/>
Personal Expenses Paid by Financial Agent – Form Sm-PE1	<input checked="" type="checkbox"/>
Personal Expenses Paid by Candidate – Form Sm-PE2	<input checked="" type="checkbox"/>
Summary of Fundraising Functions – Form Sm-F	<input type="checkbox"/>
Fundraising Function – Form S-F	<input type="checkbox"/>
Loans and Guarantees – Form S-L1	<input type="checkbox"/>
Loans / Debts Forgiven / Written Off – Form S-L2	<input type="checkbox"/>
Transfers Received – Form S-T-Rcv	<input checked="" type="checkbox"/>
Transfers Given – Form S-T-Giv	<input type="checkbox"/>

Candidates Who Were Nomination Contestants:

Nomination Contestant Expenses – Form Sm-E-NC	<input type="checkbox"/>
Summary of Political Contributions by Class – Form Sm-C	<input type="checkbox"/>
Political Contributions of Money / Property / Services over \$250 – Form S-A1	<input type="checkbox"/>
Permitted Anonymous Contributions Accepted at Functions – Form S-A2	<input type="checkbox"/>
Personal Expenses Paid by Financial Agent – Form Sm-PE1	<input type="checkbox"/>
Personal Expenses Paid by Contestant – Form Sm-PE2	<input type="checkbox"/>
Summary of Fundraising Functions – Form Sm-F	<input type="checkbox"/>
Fundraising Function – Form S-F	<input type="checkbox"/>

I, the Financial Agent, declare that:

- (a) I am authorized to act on behalf of the above-named candidate;
 (b) this report and appropriate forms have been prepared in accordance with the *Election Act*; and
 (c) to the best of my knowledge, information and belief, all the information contained in this statement is complete and accurate.

SIGNATURE OF FINANCIAL AGENT 	DATE (YYYY / MM / DD) 2017/07/28
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WARNING: Signing a false statement is a serious offence and is subject to significant penalties.

All forms included in this report are available for public inspection.

This information is collected under the authority of the *Election Act* and the *Freedom of Information and Protection of Privacy Act*. The information will be used to administer provisions under the *Election Act*. Questions can be directed to: Privacy Officer,

PLEASE KEEP A COPY FOR YOUR RECORDS

Elections BC 1-800-661-8683 privacy@elections.bc.ca or PO Box 9275 Stn Prov Govt, Victoria BC V8W 9J6

Independent Auditor's Report

To Alan K. Hooper, Financial Agent for Herbert Pond:

I have audited the accompanying election financing report of Herbert Pond relating to the British Columbia election held on May 9, 2017 in the electoral district of North Coast. The election financing report has been prepared by the Financial Agent for Herbert Pond based on the financial reporting provisions of Part 10 of the *Election Act*.

Financial agent's responsibility for the election financing report

The Financial Agent is responsible for the preparation of the election financing report in accordance with the financial reporting provisions of Part 10 of the *Election Act*, and for such internal control as the financial agent determines is necessary to enable the preparation of election financing reports that are free from material misstatement, whether due to error or fraud.

Auditor's responsibility

My responsibility is to express an opinion on the election financing report based on my audit. I conducted my audit in accordance with Canadian generally accepted auditing standards as required by Section 214 of the *Election Act* (British Columbia). Those standards require that I comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the election financing report is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the election financing report. The procedures selected depend on the auditor's judgment, including the assessment of risks of material misstatement of the election financing report, whether due to error or fraud. In making those risk assessments, the auditor considers internal control relevant to the Financial Agent's preparation of the election financing report in order to design audit procedures that are appropriate in the circumstance, but not for the purpose of expressing an opinion on the effectiveness of Herbert Pond's internal control. An audit also includes evaluating the appropriateness of accounting policies used and reasonableness of accounting estimates made by the Financial Agent, as well as evaluating the overall presentation of the election financing report.

I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my qualified audit opinion.

Basis for Qualified Opinion

Due to the nature of the transactions inherent in any election campaign, the completeness of contributions and other revenue and expenses is not susceptible to satisfactory audit verification. Accordingly, my verification of these transactions was limited to the amounts recorded in the election campaign records.

and I was not able to determine whether any adjustments might be necessary to the election financing report.

Qualified Opinions

In my opinion, except for the effects of the matter described in the Basis for Qualified Opinion paragraph, the election financing report of Herbert Pond for the British Columbia election held on May 9, 2017 in the electoral district of North Coast is prepared, in all material respects, in accordance with the financial reporting provisions of Part 10 of the *Election Act*.

Basis of Accounting

The election financing report is prepared to assist Herbert Pond to comply with the financial reporting provisions of Part 10 of the *Election Act*. As a result, the election financing report may not be suitable for another purpose.

Prince Rupert, BC
August 8, 2017

Johal & Associates



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STATEMENT OF ELECTION INCOME AND EXPENSES

St-I&E-E
(15/07)

GENERAL VOTING DAY (YYYY / MM / DD)
2017/05/09

NAME OF FILING ENTITY
ALAN K HOOPER, FINANCIAL AGENT FOR HERBERT POND

Total value of political contributions from all sources (from box E on form Sm-C)

Total transfers received (from box A on form S-T-Rcv)

Interest income

Total gross fundraising function income not reported as political contributions
(from box E on form Sm-F)

Candidate's nomination deposit refund

Other income (describe)

Total Income (sum of above boxes) A

Total value of election expenses subject to limits (from box A on form Sm-E)

Total value of election expenses not subject to limits (from box B on form Sm-E)

Total value of other expenses (from box C on form Sm-E)

Total transfers given (from box A on form S-T-Giv)

Total Expenditures (sum of above boxes) B

For Candidates Only

Balance in campaign account as of date of report C

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SUMMARY OF EXPENSES

Sm-E
(15/08)

NAME OF FILING ENTITY
ALAN K HOOPER, FINANCIAL AGENT FOR HERBERT POND

Election Expenses	Election Expenses Subject to Limits	Election Expenses Not Subject to Limits	Other Expenses
Accounting and audit services			
Bank charges	10.60		45.40
Candidate's nomination deposit		250.00	
Convention, workshop and meeting fees and rentals	542.44		
Data processing / information technology	3659.77		
Donations and gifts			
Excess nomination expenses (from box D, form Sm-E-NC)			
Furniture and equipment	331.30		228.70
Insurance			
Interest expense			
Media advertising	36631.35	0	2966.04
Newsletters and promotional material (signs, brochures, etc.)	10286.44		
Office rent, utilities and maintenance	4200.00		0
Office supplies, stationery	360.06	42.24	207.46
Personal expenses of candidate (from box G, form Sm-PE1)		5140.26	
Postage and courier	27.41		167.58
Professional services			
Research and polling	1425.06		2053.33
Salaries and benefits			
Social functions / thank-you parties	295.67		1175.55
Telecommunications	1010.26		897.66
Travel	1277.79		7470.96
Total cost of fundraising functions held during the campaign period (from box F, form Sm-F)			
Total cost of fundraising functions held outside the campaign period (from box G, form Sm-F)			
Total net losses of fundraising functions which incurred net losses during the campaign period (from box H, form Sm-F)			
Other expenses (describe)			
Total Expenses	60058.15 A	5432.50 B	15212.68 C

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**SUMMARY OF
CONTRIBUTIONS BY CLASS**
Advertising Sponsor

Sm-C(b)R
(16/03)

NAME OF FILING ENTITY
ALAN K HOOPER, FINANCIAL AGENT FOR HERBERT POND

Amount of sponsor's assets, other than contributions reported below, that were used to pay for advertising:

	A
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Total value of contributions, excluding sponsor's own assets, from each of the following classes of contributor:

	Contributions greater than \$250		Contributions of \$250 or less	
Individuals	\$300.00	1b	\$250.00	1c
Corporations	\$900.00	2b	\$200.00	2c
Unincorporated Business/Commercial Organizations	\$0.00	3b	\$0.00	3c
Trade Unions	\$0.00	4b	\$0.00	4c
Non-profit Organizations	\$0.00	5b	\$0.00	5c
Other Identifiable Contributions	\$0.00	6b	\$0.00	6c

Classified subtotals (1b to 6b & 1c to 6c) (box B = box A, S-A1(b))	\$1,200.00	B	\$450.00	C
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Classified totals (B + C)	\$1,650.00	D
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Total anonymous contributions (from box A, S-A2(b)R)	E
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Total value of all contributions from all sources (D + E)	\$1,650.00	F
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**PERSONAL EXPENSES PAID
BY FINANCIAL AGENT**

Sm-PE1
(15/06)

(Personal expenses of candidate or contestant
which were paid by the financial agent)

If form is for Nomination Contest, please tick

NAME OF FILING ENTITY
ALAN K HOOPER, FINANCIAL AGENT FOR HERBERT POND

Paid by the Financial Agent

A. Transportation to, from or within electoral district

Air travel	494.72	
Bus, taxi		
Rental vehicle	95	
Private vehicle		
Other (describe)	0	
Total	589.72	A

B. Cost of lodging, meals and incidental expenses while travelling

Hotel, motel		
Meals		
Incidental expenses (describe)		
Total	0.00	B

C. Cost of renting a necessary temporary residence

Rent		C
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**D. All other necessary personal expenses related to
campaign or contest**

Family care		
Disability expenses		
Total		D

E. Total personal expenses paid by the financial agent

Total of items A to D	589.72	E
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**F. Total personal expenses paid out of pocket
by candidate or contestant**

From Sm-PE2, box E	4550.54	F
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G. Total personal expenses from Sm-PE1 and Sm-PE2

Total of items E + F	5140.26	G
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PERSONAL EXPENSES PAID BY CANDIDATE / CONTESTANT

Sm-PE2
(15/06)

(This form must be completed by the candidate or contestant
and submitted to the financial agent within 60 days)

If form is for Nomination Contest, please tick

NAME OF FILING ENTITY
ALAN K HOOPER, FINANCIAL AGENT FOR HERBERT POND

Paid by the Candidate or Contestant

A. Transportation to, from or within electoral district

Air travel	1464.22
Bus, taxi	51.00
Rental vehicle	71.76
Private vehicle	159.00
Other (describe)	

Total 1745.98 A

B. Cost of lodging, meals and incidental expenses while travelling

Hotel, motel	595.57
Meals	2,208.99
Incidental expenses (describe)	

Total 2804.56 B

C. Cost of renting a necessary temporary residence

Rent C

**D. All other necessary personal expenses related to
campaign or contest**

Family care	
Disability expenses	

Total D

E. Total personal expenses paid by candidate or contestant

Total of items A to D 4550.54 E

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