



ELECTION FINANCING REPORT

CANDIDATE

F-C
(15/09)

ELECTIONS
A non-partisan Office of the Legislature

Amendment # _____

| | | | | |
|---|----------------------------|------------------------------------|---|-------------------------|
| CANDIDATE'S LAST NAME CHEN | | FIRST NAME KATRINA | | MIDDLE NAME(S) |
| ELECTORAL DISTRICT BURNABY LOUGHEED | | POLITICAL PARTY/AFFILIATION NDP | GENERAL VOTING DAY (YYYY / MM / DD) 2017/05/09 | |
| FINANCIAL AGENT'S LAST NAME LALLI | | FIRST NAME BRIAN | | MIDDLE NAME(S) SCOTT |
| FINANCIAL AGENT'S MAILING ADDRESS 1401-3737 BARTLETT COURT | | | CITY / TOWN BURNABY | |
| POSTAL CODE V3J7E3 | PHONE NUMBER 6042094622 | FAX NUMBER | EMAIL ADDRESS BRIANLALLI@BCFMWU.COM | |

This financing report includes the following forms:

All Candidates:

FORMS
CHECKLIST

- | | | |
|--|---------------|-------------------------------------|
| | | X |
| Statement of Election Income and Expenses -- | Form St-I&E-E | <input checked="" type="checkbox"/> |
| Summary of Expenses -- | Form Sm-E | <input checked="" type="checkbox"/> |
| Summary of Political Contributions by Class -- | Form Sm-C | <input checked="" type="checkbox"/> |
| Political Contributions of Money / Property / Services over \$250 -- | Form S-A1 | <input checked="" type="checkbox"/> |
| Permitted Anonymous Contributions Accepted at Functions -- | Form S-A2 | <input type="checkbox"/> |
| Prohibited Contributions -- | Form S-Ax | <input type="checkbox"/> |
| Personal Expenses Paid by Financial Agent -- | Form Sm-PE1 | <input type="checkbox"/> |
| Personal Expenses Paid by Candidate -- | Form Sm-PE2 | <input type="checkbox"/> |
| Summary of Fundraising Functions -- | Form Sm-F | <input type="checkbox"/> |
| Fundraising Function -- | Form S-F | <input type="checkbox"/> |
| Loans and Guarantees -- | Form S-L1 | <input type="checkbox"/> |
| Loans / Debts Forgiven / Written Off -- | Form S-L2 | <input type="checkbox"/> |
| Transfers Received -- | Form S-T-Rcv | <input checked="" type="checkbox"/> |
| Transfers Given -- | Form S-T-Giv | <input checked="" type="checkbox"/> |

Candidates Who Were Nomination Contestants:

- | | | |
|--|--------------|--------------------------|
| | | <input type="checkbox"/> |
| Nomination Contestant Expenses -- | Form Sm-E-NC | <input type="checkbox"/> |
| Summary of Political Contributions by Class -- | Form Sm-C | <input type="checkbox"/> |
| Political Contributions of Money / Property / Services over \$250 -- | Form S-A1 | <input type="checkbox"/> |
| Permitted Anonymous Contributions Accepted at Functions -- | Form S-A2 | <input type="checkbox"/> |
| Personal Expenses Paid by Financial Agent -- | Form Sm-PE1 | <input type="checkbox"/> |
| Personal Expenses Paid by Contestant -- | Form Sm-PE2 | <input type="checkbox"/> |
| Summary of Fundraising Functions -- | Form Sm-F | <input type="checkbox"/> |
| Fundraising Function -- | Form S-F | <input type="checkbox"/> |

I, the Financial Agent, declare that:

- (a) I am authorized to act on behalf of the above-named candidate;
 (b) this report and appropriate forms have been prepared in accordance with the *Election Act*; and
 (c) to the best of my knowledge, information and belief, all the information contained in this statement is complete and accurate.

| | |
|----------------------------------|-------------------------------------|
| SIGNATURE OF FINANCIAL AGENT | DATE (YYYY / MM / DD) 2017/08/04 |
|----------------------------------|-------------------------------------|

WARNING: Signing a false statement is a serious offence and is subject to significant penalties.

All forms included in this report are available for public inspection. This information is collected under the authority of the *Election Act* and the *Freedom of Information and Protection of Privacy Act*. The information will be used to administer provisions under the *Election Act*. Questions can be directed to: Privacy Officer, Elections BC 1-800-851-8883 or privacy@elections.bc.ca or PO Box 9275 Stn Prov Govt, Victoria BC V8W 9J6

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**INDEPENDENT AUDITOR'S REPORT
ON THE CANDIDATE'S ELECTION FINANCING REPORT
PURSUANT TO THE *ELECTION ACT***

6112 Sussex Avenue
Burnaby, British Columbia
Canada V5H 3C3

☎ 604 . 434-5800
☎ 604 . 433-6200

To: Brian Lalli, Financial Agent for Katrina Chen

We have audited the accompanying election financing report of Katrina Chen relating to the British Columbia election held on May 9, 2017 in the electoral district of Burnaby-Lougheed. The election financing report has been prepared by the Financial Agent for Katrina Chen based on the financial reporting provisions of Part 10 of the *Election Act*.

Financial agent's responsibility for the election financing report

The Financial Agent is responsible for the preparation of the election financing report in accordance with the financial reporting provisions of Part 10 of the *Election Act*, and for such internal control as the financial agent determine is necessary to enable the preparation of election financing reports that are free from material misstatement, whether due to error or fraud.

Auditor's responsibility

Our responsibility is to express an opinion on the election financing report based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards as required by Section 214 of the *Election Act* (British Columbia). Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the election financing report is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the election financing report. The procedures selected depend on the auditor's judgment, including the assessment of risks of material misstatement of the election financing report, whether due to error or fraud. In making those risk assessments, the auditor considers internal control relevant to the Financial Agent's preparation of the election financing report in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Candidate's internal control. An audit also includes evaluating the appropriateness of accounting policies used and reasonableness of accounting estimates made by the Financial Agent, as well as evaluating the overall presentation of the election financing report.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified audit opinion.

Basis for Qualified Opinion

Due to the nature of the transactions inherent in any election campaign, the completeness of contributions and other revenue and expenses is not susceptible to satisfactory audit verification. Accordingly, our verification of these transactions was limited to the amounts recorded in the election campaign records and we were not able to determine whether any adjustments might be necessary to the election financing report.

Qualified Opinion

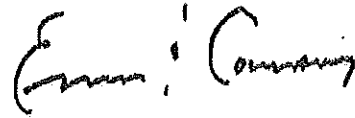
In our opinion, except for the effects of the matter described in the Basis for Qualified Opinion paragraph, the election financing report of Katrina Chen for the British Columbia election held on May 9, 2017 in the electoral district of Burnaby-Lougheed is prepared, in all material respects, in accordance with the financing reporting provisions of Part 10 of the *Election Act*.

.../2

Page 2

Basis of Accounting

The election financing report is prepared to assist Katrina Chen to comply with the financing reporting provisions of Part 10 of the *Election Act*. As a result, the election financing report may not be suitable for another purpose.



ENNS & COMPANY
Chartered Professional Accountants

Burnaby, BC
August 4, 2017



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STATEMENT OF ELECTION INCOME AND EXPENSES

St-I&E-E
(15/07)

GENERAL VOTING DAY (YYYY / MM / DD)
2017/05/09

NAME OF FILING ENTITY
CHEN, KATRINA BURNABY LOUGHEED NDP

Total value of political contributions from all sources (from box E on form Sm-C) 46659.28

Total transfers received (from box A on form S-T-Rcv) 101179.84

Interest income

Total gross fundraising function income not reported as political contributions
(from box E on form Sm-F)

Candidate's nomination deposit refund 250.00

Other income (describe)

Total Income (sum of above boxes) 148089.12 **A**

Total value of election expenses subject to limits (from box A on form Sm-E) 68769.68

Total value of election expenses not subject to limits (from box B on form Sm-E) 7830.32

Total value of other expenses (from box C on form Sm-E) 50291.47

Total transfers given (from box A on form S-T-Giv) 955.00

Total Expenditures (sum of above boxes) 127846.47 **B**

For Candidates Only

Balance in campaign account as of date of report 22,490.84 **C**



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SUMMARY OF EXPENSES

Sm-E
(15/08)

NAME OF FILING ENTITY
CHEN, KATRINA BURNABY LOUGHEED NDP

| Election Expenses | Election Expenses Subject to Limits | Election Expenses Not Subject to Limits | Other Expenses |
|--|-------------------------------------|---|-------------------|
| Accounting and audit services | | 2500.00 | |
| Bank charges | 141.06 | | 69.50 |
| Candidate's nomination deposit | | 250.00 | |
| Convention, workshop and meeting fees and rentals | 40.00 | | |
| Data processing / information technology | 46.44 | | 49.37 |
| Donations and gifts | | | |
| Excess nomination expenses (from box D, form Sm-E-NC) | | | |
| Furniture and equipment | 2453.62 | | 2474.11 |
| Insurance | 209.30 | | 288.70 |
| Interest expense | | | |
| Media advertising | 2919.11 | | 521.49 |
| Newsletters and promotional material (signs, brochures, etc.) | 14191.36 | | 5025.86 |
| Office rent, utilities and maintenance | 3110.25 | | 3888.54 |
| Office supplies, stationery | 1170.73 | | 1521.76 |
| Personal expenses of candidate (from box G, form Sm-PE1) | | | |
| Postage and courier | 58.06 | | |
| Professional services | 186.25 | | |
| Research and polling | 489.50 | | 610.35 |
| Salaries and benefits | 41819.72 | 5080.32 | 33977.28 |
| Social functions / thank-you parties | 1,351.85 | | 1453.01 |
| Telecommunications | 387.95 | | 344.32 |
| Travel | 194.48 | | 67.18 |
| Total cost of fundraising functions held during the campaign period (from box F, form Sm-F) | | | |
| Total cost of fundraising functions held outside the campaign period (from box G, form Sm-F) | | | |
| Total net losses of fundraising functions which incurred net losses during the campaign period (from box H, form Sm-F) | | | |
| Other expenses (describe) | | | |
| Total Expenses | 68769.68 A | 7830.32 B | 50291.47 C |

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SUMMARY OF POLITICAL CONTRIBUTIONS BY CLASS

Sm-C
(15/07)

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If form is for Nomination Contestant, please tick

NAME OF FILING ENTITY
CHEN, KATRINA BURNABY LOUGHEED NDP

Total value of contributions from each of the following classes of contributor:

| | Contributions greater than \$250 | | Contributions of \$250 or less | |
|--|----------------------------------|----|--------------------------------|----|
| Individuals | 3427.20 | 1a | 352.39 | 1b |
| Corporations | 958.77 | 2a | 160.00 | 2b |
| Unincorporated Business / Commercial Organizations | | 3a | | 3b |
| Trade Unions | 41740.92 | 4a | 20.00 | 4b |
| Non-profit Organizations | | 5a | | 5b |
| Other Identifiable Contributions | | 6a | | 6b |

Classified subtotals (1a to 6a & 1b to 6b)
(box A = box A, S-A1) 46126.89 A 532.39 B

Classified totals
(A + B) 46659.28 C

Total anonymous contributions
(from box A, S-A2) D

Total value of all political contributions from all sources
(C + D) 46659.28 E

Total contributions of money 0 F

Total contributions of goods, services and discounts
(includes contributions through loans and debts) 46659.28 G
(boxes F + G must equal box E)

Number of contributors who made contributions
of \$250 or less in total value 6 H

Total dollar amount of all income tax receipts issued
(Leadership Contestants cannot issue tax receipts) \$ I



POLITICAL CONTRIBUTIONS OF MONEY, PROPERTY OR SERVICES WITH A VALUE GREATER THAN \$250

S-A1
(15/06)

If form is for Nomination Contestant, please tick

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NAME OF FILING ENTITY
CHEN, KATRINA BURNABY LOUGHEED NDP

PAGE 1
OF 1

| FULL NAME OF CONTRIBUTOR (If a numbered corporation or an unincorporated organization, include full names of two directors) | CLASS OF CONTRIBUTOR* (X APPROPRIATE CLASS) | | | | | | INDIVIDUAL CONTRIBUTION AMOUNTS | DATE OF EACH INDIVIDUAL CONTRIBUTION (YYYY/MM/DD) | TOTAL OF CONTRIBUTOR'S CONTRIBUTIONS |
|--|--|---|---|---|---|---|---------------------------------|---|--------------------------------------|
| | 1 | 2 | 3 | 4 | 5 | 6 | | | |
| BCGEU | | | | X | | | 33253.74 | 2017/04/13-2017/05/23 | 33253.74 |
| UFCW 247 | | | | X | | | 847.20 | 2017/05/09 | 847.20 |
| UFCW 1518 | | | | X | | | 2557.66 | 2017/04/25 | 2557.66 |
| CUPE | | | | X | | | 5082.32 | 2017/04/11-2017/05/09 | 5080.32 |
| MOD GSI | | X | | | | | 689.97 | 2017/03/11 | 689.97 |
| KUASTYLE | | X | | | | | 268.80 | 2017/03/15 | 268.80 |
| LAARNI DELOS REYES | X | | | | | | 1000.0 | 2017/03/15 | 1000.0 |
| KATRINA CHEN | X | | | | | | 627.20 | 2017/04/11-2017/05/09 | 627.20 |
| GARY WONG | X | | | | | | 1800.0 | 2017/03/15 | 1800.00 |
| | | | | | | | | | |

* CLASS OF CONTRIBUTOR:
1 - INDIVIDUAL 2 - CORPORATION, 3 - UNINCORPORATED BUSINESS/COMMERCIAL ORGANIZATION,
4 - TRADE UNION, 5 - NON-PROFIT ORGANIZATION, 6 - OTHER

TOTAL OF INDIVIDUAL CONTRIBUTIONS A 46126.89

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TRANSFERS RECEIVED

S-T-Rcv
(15/06)

NAME OF FILING ENTITY
Katrina Chen Burnaby Lougheed BCNDP

PAGE 1
OF 2

| DATE OF TRANSFER (YYYY/MM/DD) | NAME OF ENTITY TRANSFERRING MONEY, GOODS OR SERVICES | VALUE OF TRANSFER |
|-------------------------------|--|-------------------|
| 2017/04/11 | BCNDP Burnaby Lougheed Constituency association | 260 |
| 2017/02/27 | BCNDP Burnaby Lougheed Constituency association | 35000 |
| 2017/04/21 | BCNDP Burnaby Lougheed Constituency association | 13546 |
| 2017/04/03 | BCNDP Revenue Transfers | 8075 |
| 2017/04/12 | BCNDP Revenue Transfers | 1064.60 |
| 2017/04/13 | BCNDP Revenue Transfers | 1387.50 |
| 2017/04/20 | BCNDP Revenue Transfers | 1273.28 |
| 2017/04/21 | BCNDP Revenue Transfers | 6135 |
| 2017/04/28 | BCNDP Revenue Transfers | 1468.50 |
| 2017/05/02 | BCNDP Revenue Transfers | 12983.41 |
| 2017/05/09 | BCNDP Revenue Transfers | 1427.75 |
| 2017/05/18 | BCNDP Revenue Transfers | 3355 |
| 2017/04/21 | BCNDP Burnaby Edmonds Constituency Association | 2500 |
| 2017/06/13 | BCNDP Revenue Transfers | 10366.32 |
| <i>Monty</i> | | |
| TOTAL A | | 98842.26 |

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